#### Hollis-Eden Pharmaceuticals, Inc.

<sup>2</sup>4435 Eastgate Mall, Suite 400 San Diego, CA 92121

Telephone: 858 587 9333





Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **CERTIFICATE OF MAILING BY "FIRST-CLASS MAIL"**

Attorney Docket No.:

202.8

Serial No.

10/087,929

Applicant(s)

Clarence N. Ahlem, et al.

For

**Blood Cell Deficiency Treatment Method** 

**Attorney** 

Daryl D. Muenchau, Reg. No. 36,616

**Date of Deposit** 

**February 6, 2004** 

I hereby certify that the accompanying

Transmittal (in duplicate); Information Disclosure Statement (Form PTO-1449 – including reference copies); and Return Postage Prepaid Postcard

are being deposited with the United States Postal Service "First-Class Mail" service on the date indicated above and are addressed to: Assistant Commissioner of Patents,

Alexandria, VA 22313-1450.

Brenda J Mannon-Freema

PATENT Docket No. 202.8



Applicant

: Clarence N. Ahlem, et al.

Application No.

: 10/087,929

Filed

: March 1, 2002

Title

: Blood Cell Deficiency Treatment Method

Examiner

: Sabiha N. Qazi

TC/A.U.

: 1616

: 202.8

Docket No. Customer No.

: 26551

Confirmation No.: 7989

## SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT TRANSMITTAL**

**Assistant Commissioner of Patents** Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 (in duplicate) listing references (76). A copy of foreign patent documents and other documents are enclosed. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b). Please charge any fees due or credit any overpayments to **Deposit Account No. 501536**. A duplicate copy of this sheet is enclosed.

Please direct all correspondence to Customer No. 26,551.

Respectfully submitted,

HOLLIS-EDEN PHARMACEUTICALS, INC.

Dated: Fels 6, 2004

Daryl D. Muenchau, Reg. No. 36,616

Hollis-Eden Pharmaceuticals, Inc. 4435 Eastgate Mall, Suite 400 San Diego, California, 92121 Telephone: (858) 587-9333

Customer No. 26,551



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

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: 10/087,929

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

WHORMATION DISCLOSURE STATEMENT

BY APPLICANT

FEB 0 9 2004

(USE SEVERAL SPEETS IF NECESSARY)

ATTY, DOCKET NO. 202.8	APPLICATION NO. 10/087,929	
APPLICANT Ahlem, et al.		
FILING DATE 3/1/02	GROUP 1616	

			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,395,722	5/28/02	Gilat			
	6,667,299 B1	12/23/03	Ahlem et al.			

		U.S. PATENT APPLICATION DOCUMENTS			
EXAMINER INITIAL	DOCUMENT SERIAL NUMBER	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	09/820,483	Ahlem et al.			
	10/087,929	Ahlem et al.			
	10/166,423	Labrie			6/11/99
	10/319,356	Ahlem, et al.			

FOREIGN PATENT DOCUMENTS							•
EXAMINER DOCUMENT NUMBER		PUB. DATE	1 00.00-000		SUBCLASS	TRANSLATION	
INITIAL						YES	NO
	GB 2 057 448	4/1/81	United Kingdom				
	WO 97/13500 ·	4/17/97	РСТ				
	WO 97/17992	5/22/97	РСТ			- 1-1-1	
	WO 97/48401	12/24/97	РСТ				
	WO 00/56757	9/28/00	РСТ				_
	WO 01/30802	5/3/01	РСТ				
	WO 01/42273	6/14/01	РСТ				
						*	
					-		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Araghi-Niknam, et al, Cytokine dysregulation and increased oxidation is prevented by dehydroepiandrosterone in mice infected with murine leukemia retrovirus, <i>Proc. Soc. Exp. Biol. Med.</i> 216, pages 386-391 (1997)

EXAMINER	DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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N	(USE SERAL SHEET:	S IF NECESSARY)	FILING DATE 3/1/02	GROUP 1616

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	Araghi-Niknam, et al, Modulation of immune dysfunction during murine leukemia retrovirus infection of old mice by dehydroepiandrosterone sulfate (DHEAS), <i>Immunology</i> , 90, pages 344-349 (1997)						
	Bebo, et al, Androgens alter the cytokine profile and reduce encephalitogenicity of myelin-reactive T cells, <i>J. Immunology</i> , 162, pp. 35-40, (1999)						
	Henderson, et al, Dehydroepiandrosterone and 16.alphabromoepiandrosterone: inhibitors of Epstein-Barr virus induced transformation of human lymphocytes, Carcinogenesis, vol. 2, no. 7, pages 683-686 (1981)						
	Hernandez-Pando, et al, The effects or androstenediol and ehydroepiandrosterone on the course and cytokine profile of tuberculosis in BALB/c mice, <i>Immunology</i> , 95, pages 234-241 (1998)						
	Inserra, et al, Modulation of cytokine production by dehydroepiandrosterone (DHEA) plus melatonin (MLT) supplementation of old mice, <i>Proc. Soc. Exp. Biol. Med.</i> , 218, pages 76-82 (1998)						
	Kang, et al, Dehydroepiandrosterone and beta-endorphin enhance IL-12 gene expression, Chemical Abstracts, vol. 126, no. 9, 399-404 (1997)						
	Manz, et al, Methyl 17.betacarboxyester derivatives of natural and synthetic glucocorticoids: correlation between receptor binding and inhibition of in vitro phytohaemagglutinin-induced lymphocyte blastogenesis, <i>J. Clin. Chem. Clin. Biochem</i> , 21, 69-75 (1983)						
	Yang et al, Inhibition of HIV-1 Latency Reactivation by Dehydroepiandrosterone (DHEA) and an Analog of DHEA, Aids Research and Human Retroviruses, Vol. 9, no 8, 747-754, 1993						
	Zhang, et al, Prevention of immune dysfunction and vitamin E loss by dehydroeplandrosterone and melatonin supplementation during murine retrovirus infection, <i>Immunology</i> , 96, 291-297 (1999)						

EXAMINER DATE CONSIDERED

/	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
FEB 0 9 1004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT								
	TRANSPORTE	L SHEETS IF NECESSARY)						

ATTY, DOCKET NO. 202.8	APPLICATION NO. 10/087,929
APPLICANT Ahlem, et al.	
FILING DATE 3/1/02	GROUP 1616

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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE
	2,531,441	11/28/50	Julian et al.			
	2,561,378	7/24/51	Julian et al.			
	2,566,336	9/4/51	Julian et al.			
	2,705,238	3/29/55	Julian et al.			
	3,001,988	9/26/61	Nysted			
	3,004,968	10/17/61	Jeger et al.			
	3,026,318	3/20/62	Hewett			
	3,080,360	3/5/63	Рарро			
	3,153,063	10/13/64	Ruggieri et al.			
	3,189,597	6/15/65	MacPhillamỳ et al.			
	4,263,290	4/21/81	Nedeleç et al.			
	4,292,251	9/29/81	Overbeek			
	4,310,523	1/12/82	Neumann			
	4,329,296	5/11/82	Тах			
	4,330,539	5/18/82	Sleigh et al.			
	4,424,218	1/3/84	Deraedt et al.			
	4,602,008	7/22/86	Krsek			
	4,898,694	2/6/90	Schwartz et al.			
	4,956,355	9/11/90	Prendergast			
	5,001,119	3/19/91	Schwartz et al.			
	5,028,631	7/2/91	Schwartz et al.			
	5,077,284	12/31/91	Loria et al.			
	5,145,874	9/8/92	Johnson et al.			
	5,175,154	12/29/92	Schwartz et al.			
	5,206,008	4/27/93	Loria	•		
	5,372,996	12/13/94	Labrie			_
	5,387,583	2/7/95	Loria			

EXAMINER	DATE CONSIDERED
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT
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ATTY, DOCKET NO. 202.8	APPLICATION NO. 10/087,929
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1616

**U.S. PATENT DOCUMENTS** ISSUE FILING DATE **EXAMINER** CLASS SUBCLASS DOCUMENT NUMBER NAME DATE (IF APPROPRIATE) INITIAL 5,206,008 4/27/93 Loria 5,407,684 4/18/95 Loria et al. 5,424,463 6/3/95 Lardy 10/24/95 5,461,042 Loria 5,478,566 12/26/95 Loria 5,489,581 . 2/6/96 Daynes et al. 5,494,899 2/27/96 Kincade et al. 7/2/96 5,532,230 Daynes et al. Daynes et al. 12/10/96 5,583,126 5,585,371 . 12/17/96 Lardy 5,599,806 2/4/97 Cerri et al. 5,641,768 . 6/24/97 Loria 5,686,438 11/11/97 Daynes et al. 12/9/97 5,696,106 . Schwartz et al. 1/6/98 Cerri et al. 5,705,662 -3/17/98 Labrie 5,728,688 5,763,433 -6/9/98 Morfin 5,776,923 7/7/98 Labrie 5,811,418 9/22/98 Daynes et al. 10/20/98 Rubinfeld et al. 5,824,668 5,837,269 11/17/98 Daynes et al. 12/8/98 Araneo et al. 5,846,963 6/1/99 Brattsand et al. 5,908,833 5,912,240 6/15/99 Loria 5,977,095 11/2/99 Araneo et al. 6,013,642 1/11/00 Foulkes et al.

3/1/02

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